

Docket No. 280125US0PCT



MAIL STOP PCT

AP3 Rec'd PCT/PTO 24 JAN 2006 PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Magnus AXELSON, et al.

SERIAL NO: 10/554,399

GAU:

FILED: October 24, 2005

EXAMINER:

FOR: PODOPHYLLOTOXIN DERIVATIVES AS IGF-1R INHIBITORS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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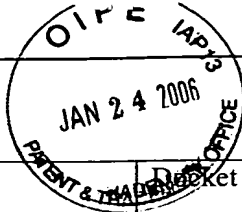
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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 280125US0PCT		SERIAL NO. 10/554,399	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Magnus AXELSON, et al.			
				FILING DATE October 24, 2005		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	2004/167208	08/26/04	Olle LARSSON, et al., serial no. 10/480,800			
	AB	2004/186169	09/23/04	Olle LARSSON, et al., serial no. 10/481,441			
	AC						
	AD						
	AE						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AF	02/102804	12/27/02	WO			NO
	AG	02/102805	12/27/02	WO			NO
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AH	Anne DANTZIG et al., "Cytotoxic responses to aromatic ring and configurational variations in $\alpha$ -conidendrin, podophyllotoxin, and sikkimotoxin derivatives", JOURNAL OF MEDICINAL CHEMISTRY, vol. 44, no. 2, pages 180-185 2001					
	AI	Tameo IWASAKI et al., "Novel selective PDE IV inhibitors as antiasthmatic agents. Synthesis and biological activities of a series of 1-Aryl-2,3-bis(hydroxymethyl)naphthalene lignans", JOURNAL OF MEDICINAL CHEMISTRY, vol. 39, no. 14, pages 2696-2704 1996					
	AJ	STN International, File CAPLUS, CAPLUS accession no. 2002:298301, Document no. 137:185295, Basavaraju, Y.B. et al.: "Synthesis of analogues of podophyllotoxin: Tetralones as intermediates for the synthesis of analogues of beta-apopropodophyllin"; & Indian Journal of Heterocyclic Chemistry, vol. 11, no. 3, pages 229-232 2002					
	AK	STN International, File CAPLUS, CAPLUS accession no. 1967:411054, Document no. 67:11054, Swan, R. J. et al.: "Optical rotatory dispersion studies. XLI. The absolute configuration of plicatic acid"; & Canadian Journal of Chemistry, vol. 53, no. 3, pages 319-324 1967					
	AL	STN International, File CAPLUS, CAPLUS accession no. 1963:461993, Document no 59: 61993, Schreier, E.: "Natural products inhibiting mitoses. XI. Structure of sikkimotoxin. 1. Synthesis of stereoisomeric 6,7-dimethoxy analogs of podophyllotoxin"; & Helvetica Chimica Acta, vol. 46, pages 75-117 1963					
	AM	STN International, File CAOLD, CAOLD accession no. CA65:2187d, Braun, Loren L. et al.: "2-(2-carboxyethyl)amino-1, 4-naphthoquinone derivs"					
	AN						<input type="checkbox"/> Additional References sheet(s) attached
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



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Serial No.: 10/554,399

Inventor: Magnus AXELSON, et al.

LIST OF RELATED CASES CITED BY  
APPLICANT UNDER 37 CFR 1.56

Filing Date: October 24, 2005

Group:

**LIST OF RELATED CASES**

<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
	280125US0PCT*	10/554,399	10/24/05		AXELSON, et al.
	246221US0PCT	10/480,800	12/19/03	US2004/0167208 A1	LARSSON, et al.
	210230US0PROV	60/300,431	06/26/01		LARSSON, et al.
	246222US0PCT	10/481,441	12/19/03	US2004/0186169 A1	LARSSON, et al.
	237268US0XPROV	60/468,054	05/06/03		AXELSON, et al.

Examiner

Date Considered

\*Present Application; listed for information  
NFO/kch/hah